

TRANSAMERICA FINANCIAL ADVISORS - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2026

April 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.62	0.00	0.30	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDBG)	22.32	22.44	50.00	0.00	0.00	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	22.13	22.29	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	20.59	20.66	50.00	6.25	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	18.98	19.11	0.00	0.00	0.00	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	7.74	7.79	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	2.93	2.95	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2.14	2.16	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.80	1.82	0.00	0.00	0.00	0	0	0	0	0	0	0	0
GTS SECURITIES LLC(GTSM)	0.79	0.79	0.00	0.00	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.34	0.00	0.00	56.25	0.00	0	0	0	0	0	0	0	0

Material Aspects:

Citadel Securities LLC(CDBG):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

Virtu Americas, LLC(NITE):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

G1 Execution Services, LLC(ETMM):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

Jane Street Capital, LLC(INST):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

HRT Financial LP(HRTF):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

Goldman, Sachs & Co. LLC(GSCO):

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UBS Securities, LLC(UBSS):

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Two Sigma Securities, LLC(SOHO):

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GTS SECURITIES LLC(GTSM):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

New York Stock Exchange(NYSE):

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

April 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.01	0.37	0.62	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDBG)	22.07	22.68	10.00	0.00	0.00	0	0	0	0	0	0	0	0

Virtu Americas, LLC(NITE)	21.02	21.56	15.00	0.99	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	19.81	20.26	10.00	3.96	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	18.16	18.56	25.00	0.99	0.00	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	7.78	7.97	10.00	0.00	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCD)	3.59	3.70	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	2.11	2.17	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.89	1.95	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Nasdaq Stock Market(NSDQ)	1.82	0.02	15.00	79.30	0.00	0	0	0	0	0	0	0	0
GTS SECURITIES LLC(GTSM)	1.02	1.05	0.00	0.00	0.00	0	0	0	0	0	0	0	0

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Virtu Americas, LLC(NITE):
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Nasdaq Stock Market(NSDQ):
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GTS SECURITIES LLC(GTSM):
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April 2026

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

May 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.87	0.04	0.09	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	27.15	27.10	100.00	50.00	0.00	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	22.98	23.01	0.00	0.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	22.15	22.18	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	11.90	11.92	0.00	0.00	0.00	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	8.60	8.61	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	3.48	3.48	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.48	2.48	0.00	0.00	0.00	0	0	0	0	0	0	0	0
GTS SECURITIES LLC(GTSM)	0.61	0.61	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.61	0.61	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.04	0.00	0.00	50.00	0.00	0	0	0	0	0	0	0	0

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Virtu Americas, LLC(NITE):
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G1 Execution Services, LLC(ETMM):
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Jane Street Capital, LLC(JNST):
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HRT Financial LP(HRTF):
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Goldman, Sachs & Co. LLC(GSCO):
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Two Sigma Securities, LLC(SOHO):
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GTS SECURITIES LLC(GTSM):
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UBS Securities, LLC(UBSS):
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Cboe EDGX US Equities Exchange(EDGX):
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May 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.06	0.08	0.86	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	26.89	27.12	0.00	15.15	0.00	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	23.13	23.40	0.00	9.09	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	22.87	23.16	0.00	7.58	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	11.65	11.70	0.00	9.89	0.00	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	7.52	7.63	33.33	0.00	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	2.91	2.88	0.00	4.55	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.86	2.88	33.33	0.00	0.00	0	0	0	0	0	0	0	0

UBS Securities, LLC(UBSS)	0.88	0.65	33.33	0.80	0.00	0	0	0	0	0	0	0	0
Nasdaq Stock Market(NSDQ)	0.58	0.00	0.00	33.33	0.00	0	0	0	0	0	0	0	0
GTS SECURITIES LLC(GTSM)	0.50	0.51	0.00	0.00	0.00	0	0	0	0	0	0	0	0

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Nasdaq Stock Market(NSDQ)
[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

GTS SECURITIES LLC(GTSM):
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May 2026

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

Material Aspects:

[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

June 2026

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	99.83	0.00	0.17	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.14	33.20	0.00	20.00	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	22.18	22.34	0.00	6.67	0.00	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	20.97	21.00	0.00	13.33	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	10.77	10.79	0.00	6.67	0.00	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	6.38	6.37	0.00	6.67	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSOC)	3.79	3.81	0.00	0.00	0.00	0	0	0	0	0	0	0	0

Two Sigma Securities, LLC(SOHO)	1.85	1.66	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.49	0.50	0.00	0.00	0.00	0	0	0	0	0	0	0	0
GTS SECURITIES LLC(GTSM)	0.44	0.44	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	0.08	0.00	0.00	20.00	0.00	0	0	0	0	0	0	0	0

Material Aspects:

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Cboe EDGX US Equities Exchange(EDGX):
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March 2026

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	98.93	0.28	0.77	0.02

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	33.27	33.43	28.57	22.22	100.00	0	0	0	0	0	0	0	0
Virtu Americas, LLC(NITE)	21.21	21.38	28.57	8.33	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	21.02	21.02	14.29	22.22	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	10.94	11.03	14.29	4.17	0.00	0	0	0	0	0	0	0	0
HRT Financial LP(HRTF)	6.13	6.22	0.00	1.39	0.00	0	0	0	0	0	0	0	0
Goldman, Sachs & Co. LLC(GSCO)	3.48	3.52	0.00	1.39	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.18	2.22	0.00	0.00	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	0.69	0.68	0.00	1.39	0.00	0	0	0	0	0	0	0	0
GTS SECURITIES LLC(GTSM)	0.45	0.46	0.00	0.00	0.00	0	0	0	0	0	0	0	0
Nasdaq Stock Market(NSDQ)	0.35	0.00	14.29	22.22	0.00	0	0	0	0	0	0	0	0

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Nasdaq Stock Market(NSDQ)
[Transamerica Financial Advisors LLC does not receive any payment for order flow from the venues listed in this disclosure]

March 2026

Option Contracts

Summary

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0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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